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**WO 02/060430 A1**

(54) Title: **USE OF RETINOIDS PLUS HISTONE DEACETYLASE INHIBITORS TO INHIBIT THE GROWTH OF SOLID TUMORS**

(57) Abstract: The present invention provides a method of inhibiting growth of solid tumors in an animal which comprises administering an effective amount of trichostatin A (TSA) to an animal in need of such treatment. The present invention also provides a method of inhibiting growth of solid tumors in an animal which comprises administering an effective amount of a histone deacetylase inhibitor and a retinoid to an animal in need of such treatment. Examples of solid tumors which may be treated using the methods of the invention include but are not limited to carcinomas of the head and neck, breast, skin, kidney, oral cavity, colon, prostate, pancreas and lung.

## **USE OF RETINIDS PLUS HISTONE DEACETYLASE INHIBITORS TO INHIBIT THE GROWTH OF SOLID TUMORS**

This application claims priority from U.S. Provisional Application Serial  
Number 60/265,651, filed February 1, 2001, which is hereby incorporated by  
5 reference.

This invention was made with government support under Grant numbers  
R01DE10389 and R01CA77509 by the National Institutes of Health. The  
government has certain rights in the invention.

### **BACKGROUND OF THE INVENTION**

10 Tumors are generally classified as either solid or liquid (hematopoietic).  
Examples of solid tumors include carcinomas of the head and neck, breast, skin,  
kidney, prostate, colon, pancreas and lung. Liquid tumors include for example,  
leukemia and lymphoma. In the clinical setting, tumors are generally treated  
differently based on whether they are solid or liquid. Solid tumors are generally  
15 treated via surgical methods or a combination of surgical methods and radiation  
therapy. If metastasis is observed, drug therapy may be further employed. In the  
cases of a liquid tumor, drug therapy is the most often used course of treatment.  
The present invention provides methods for treatment of solid tumors.

### **SUMMARY OF THE INVENTION**

20 The present invention provides a method of inhibiting growth of solid  
tumors in an animal which comprises administering an effective amount of  
trichostatin A (TSA) to an animal in need of such treatment. Preferably, the  
animal is a mammal. Even more preferably, the mammal is a human.

In accordance with the present invention there is also provided a method of  
25 inhibiting growth of solid tumors in an animal which comprises administering an  
effective amount of a histone deacetylase inhibitor and a retinoid to an animal in  
need of such treatment.

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Examples of solid tumors which may be treated using the methods of the invention include but are not limited to carcinomas of the head and neck, breast, skin, kidney, oral cavity, colon, prostate, pancreas or lung.

In accordance with the present invention, a histone deacetylase inhibitor  
5 and retinoid may be administered serially or in combination.

Examples of histone deacetylase inhibitors which may be used in the methods of the present invention include but are not limited to Trichostatin A, Trichostatin C, butyric acid, potassium butyrate, sodium butyrate, ammonium butyrate, lithium butyrate, phenylbutyrate, sodium phenylbutyrate (NaPBA), a  
10 stable butyrate derivative, traponin, valproic acid or SAHA.

Examples of retinoids which may be used in accordance with the present invention include but are not limited to retinol, 9-cis retinoic acid, 13-cis retinoic acid, all-trans retinoic acid, 4-oxoretinol, 4-oxoretinaldehyde or a retinyl ester.

If desired, the retinoid used in the methods of the present invention may be  
15 in a lipid formulation such as liposomal ATRA Tretinoin.

### BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 graphically depicts results of growth assays of 5 and 7 day MB-435 cells treated as indicated. Assay was performed by MTT.

Figure 2 graphically depicts results of growth assays of HMEC cells  
20 treated as indicated. Cell number was counted.

Figure 3 graphically depicts results of growth assays of 3, 5, and 7 day MB-435 cells treated as indicated. Assay was performed by MTT.

Figure 4 graphically depicts results of growth assays of 3, 5, and 7 day MB-231 cells treated as indicated. Assay was performed by MTT.

25 Figure 5 graphically depicts results of growth assays of 3, 5, and 7 day MCF-7 cells treated as indicated. Assay was performed by MTT.

Figure 6 graphically depicts results of growth assays of SK-RC-39 cells treated as indicated. Cell number was counted.

Figure 7 graphically depicts results of growth assays of SK-RC-01 cells treated as indicated. Cell number was counted.

5        Figure 8 graphically depicts results of growth assays of SK-RC-45 cells treated as indicated. Cell number was counted.

Figure 9 graphically depicts results of growth assays of SK-RC-39 cells treated as indicated. Cell number was counted.

10       Figure 10 graphically depicts results of growth assays of SK-RC-39 cells treated as indicated. Cell number was counted.

Figure 11 graphically depicts results of growth assays of SK-RC-39 cells treated as indicated. Cell number was counted.

Figure 12 graphically depicts results of growth assays of SK-RC-01 cells treated as indicated. Cell number was counted.

15       Figure 13 graphically depicts results of growth assays of SK-RC-45 cells treated as indicated. Cell number was counted.

Figure 14 graphically depicts results of growth assays of SK-RC-45 cells treated as indicated. Cell number was counted.

20       Figure 15 is a growth curve for SK-RC-39 cells treated as indicated. Cell number was counted.

Figure 16 is data from a human tumor xenograft in nu/nu mice. Mice were treated as indicated in Example 2.

Figure 17 graphically depicts the results of growth assays of LnCap cells treated as indicated. Cell number was counted.

25       Figure 18 depicts results of growth assays of SCC-15 cells treated as indicated. Cell number was counted.

Figure 19 graphically depicts results of growth assays of SCC-15 cells treated as indicated. Cell number was counted.

Figure 20 graphically depicts results of growth assays of PC-3 cells treated as indicated. Cell number was counted.

## 5 DETAILED DESCRIPTION OF THE INVENTION

In accordance with the present invention, it has been surprisingly found that administration of trichostatin A is effective in the treatment of solid tumors *in vivo*. It has also been surprisingly found that administration of both a histone deacetylase (HDAC) inhibitor and a retinoid (synthetic derivatives of vitamin A, retinol), is effective in the treatment of solid tumors *in vivo*.  
10

Thus, in accordance with the present invention, there is provided a method of inhibiting the growth of solid tumors *in vivo* by administering to a patient an effective amount of trichostatin A. Also in accordance with the present invention, there is provided a method of inhibiting the growth of solid tumors *in vivo* by administering to a patient an effective amount of a histone deacetylase (HDAC) inhibitor and a retinoid. The administration of a histone deacetylase (HDAC) inhibitor and a retinoid may be performed in serial or in combination. When administered in combination, the HDAC and retinoid may comprise a mixture which is administered to the patient. Alternatively, when administered in combination, the HDAC and retinoid may be administered separately but simultaneously as in for example, two separate i.v. lines.  
15  
20

Examples of solid tumors which may be treated in accordance with the methods of the present invention include for example, carcinomas of the head and neck, breast, skin, kidney, oral cavity, colon, prostate, pancreas, and lung.

25 Examples of histone deacetylase inhibitors which may be used in accordance with the methods of the present invention include for example, Trichostatin A, Trichostatin C, butyric acid and butyric acid salts such as potassium butyrate, sodium butyrate, ammonium butyrate, lithium butyrate, phenylbutyrate, and sodium phenylbutyrate (NaPBA); stable butyrate derivatives, traponin, valproic acid, suberoylanilide hydroxamic acid (SAHA), etc.  
30

Examples of retinoids which may be used in the methods of the present invention include e.g., retinol, 9-cis retinoic acid, 13-cis retinoic acid, all-trans retinoic acid (also referred to as tretinoin, all-trans RA or ATRA), 4-oxoretinol, 4-oxoretinaldehyde and retinyl esters. If desired, liposomal preparations of retinoids may be used in the methods of the present invention. For example, liposomal tretinoin such as liposomal ATRA Tretinoin or ATRA-IV may be used. A liposomal delivery system improves the activity of tretinoin by altering its pharmacological profile, changing the drug's pharmacokinetics and tissue distribution. *In vitro*, liposomal ATRA has a greater antiproliferative effect on neoplastic cells than free-ATRA. *In vivo*, liposomes bypass the clearance mechanism that evolves in the livers of patients treated with the oral formulation. In addition, toxicities associated with oral doses of tretinoin might be reduced because liposome encapsulation of tretinoin decreases direct exposure of the tretinoin during circulation to levels below the orally administered toxic dose. The latter allows greater total exposure of the drug on initial dose accompanied by slower clearance of the tretinoin.

The histone deacetylase inhibitors and retinoids for use in the methods of the present invention may be prepared for convenient and effective administration in pharmaceutically effective amounts with a suitable pharmaceutically acceptable carrier. Pharmaceutical acceptable carriers may include for example, solvents, dispersion media, antibacterial and antifungal agents, microcapsules, liposomes, cationic lipid carriers, isotonic and absorption delaying agents and the like which are not incompatible with the active ingredients. The formulation of pharmaceutical compositions is generally known in the art and reference may be conveniently made to Remington's Pharmaceutical Sciences, 17<sup>th</sup> ed., Mack Publishing Co., Easton, Pa. The active ingredients of a pharmaceutical composition comprising a retinoid and/or histone deacetylase inhibitor are contemplated to exhibit excellent therapeutic activity for treating a variety of solid tumors, when administered in an amount which depends on the particular case.

Thus, an effective amount of a histone deacetylase inhibitor or an effective amount of a retinoid and a histone deacetylase inhibitor is administered to a

patient suffering from a solid tumor(s). By "effective amount" is meant an amount effective to inhibit the growth of the tumor(s) *in vivo*.

ATRA-IV is a lipid formulation of tretinoin (USP) suitable for intravenous infusion. Common synonyms for tretinoin are: RA, all-*trans*-retinoic acid, vitamin A acid, or 3, 7 dimethyl-9-(2,6,6-trimethyl-1-cyclohexen-1-yl)-2, 4, 6, 8, 10 nonatetraenoic acid [CAS No. 302-79-4]. ATRA-IV is a lyophilized mixture of 935 mg dimyristoyl phosphatidyl choline (or DMPC), 165 mg soybean oil, USP and 110 mg tretinoin, USP. A vial of product appears as yellow lyophilized powder. The lyophilized powder is reconstituted at point of use with 0.9% sodium chloride for injection, USP to form a liposome suspension. The reconstituted suspension contains 2 mg/ml of tretinoin. A vial of lyophilized ATRA-IV is reconstituted with 50 ml of 0.9% sodium chloride for injection, USP, to provide a 2 mg per ml of liposomal suspension requiring no further dilution steps.

15 In accordance with the methods of the present invention, a histone deacetylase inhibitor such as TSA is administered in a manner compatible with the dosage formulation and in such amount as will be therapeutically effective, i.e., an amount effective to inhibit growth of a solid tumor. Also in accordance with the methods of the present invention, a retinoid such as an all-*trans* retinoic acid is 20 administered in a manner compatible with the dosage formulation and in such amount as to be therapeutically effective, i.e., an amount effective to inhibit growth of a solid tumor. Systemic dosages depend on the age, weight, condition of the patient, size of tumor(s), and administration route.

A histone deacetylase inhibitor and/or retinoid may be administered in any 25 way which is medically acceptable. Possible administration routes include intravascular, intravenous, intraarterial, subcutaneous, intramuscular, intratumor, intraperitoneal, intraventricular, intraepidural, or others.

For example, ATRA-IV may be administered to a subject via intra-arterial or intravenous infusion in a dosage range of from about 15 to about 75 mg/m<sup>2</sup>.

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In accordance with the methods of the present invention, a histone deacetylase inhibitor such as sodium phenylbutyrate (NaPBA) may be administered in a dosage of anywhere in the range of from about 9.9 to about 13 g/m<sup>2</sup> orally, divided three times daily. Alternatively, a dosage of about 19 g/d, orally, for one week may be administered. Other dosage regimes include for example, a dose range of from about 150 mg/kg IV every other day in increments of about 50 mg/kg until maximum tolerated dose (MTD) is reached or until about 400 mg/kg about 28 gm/d in the average male).

Oral formulations may include for example, an inert diluent, an assimilable edible carrier and the like, be in hard or soft shell gelatin capsule, be compressed into tablets, or may be in an elixir, suspension, syrup, or the like.

Dosage regima may be adjusted to provide the optimum therapeutic response. For example, several divided doses may be administered daily or the dose may be proportionally reduced or increased as indicated by the specific therapeutic situation.

In accordance with the present invention, "subject" is meant to include any animal including birds. In a preferred embodiment of the invention, the animal is a mammal. In a most preferred embodiment of the invention, the mammal is a human.

The invention is further illustrated by the following specific examples which are not intended in any way to limit the scope of the invention.



**EXAMPLE 1****MALIGNANT CELL GROWTH ASSAYS****Materials and Methods:**

The following cultured, human tumor lines were used:

- |    |          |                              |
|----|----------|------------------------------|
| 5  | MB-435   | - human breast cancer        |
|    | MB-231   | - human breast cancer        |
|    | MCF-7    | - human breast cancer        |
|    | SK-RC-39 | - human renal carcinoma      |
|    | SK-RC-01 | - human renal carcinoma      |
| 10 | SK-RC-45 | - human renal carcinoma      |
|    | SCC-15   | - human head and neck cancer |
|    | LnCap    | - human prostate cancer      |
|    | PC-3     | - human prostate cancer      |

- Also used were HMEC cells, which are cells from a normal human  
15 mammary epithelial cell line (primary cultures).

**Drugs Used and Cell Cultures:**

- Liposome encapsulated ATRA (ATRA-IV, Antigenics Inc., New York, New York) was reconstituted from 100 mg vials with 50 ml of 0.9% saline. Powdered ATRA was dissolved in EtOH to make a 1mM ATRA (Sigma, St.  
20 Louis, MO) stock which was stored at -80°C. Trichostatin A (Wako Pure Chemical Industries, Ltd., Osaka, Japan) was dissolved in 5 ml of EtOH to make a 1mg/ml stock and sodium phenylbutyrate (NaPBA, Triple Crown America, Perkase, PA) was prepared weekly from the powdered form to make a 25 mM stock solution. Cell lines were maintained in Minimal Essential Medium  
25 supplemented with 7% fetal calf serum, non-essential amino acids, and 1%

penicillin/streptomycin (7% MEM). Empty liposomes (provided by Antigenics Inc.) were diluted in 10 ml of 0.9% saline to equal the same concentration of liposomes in the lipoATRA. SK-RC-01, SK-RC-39 and SK-RC-45 RCC cell lines were derived as described previously (Ebert et al. 1990, "Establishment and  
5 characterization of human renal cancer and normal kidney cell lines," *Cancer Res.*, 50:5531-5536).

96 well tissue culture dishes were seeded with  $10^4$  cells/well in 200 $\mu$ L DME tissue culture medium supplemented with 10% fetal calf serum (FCS). Cells were incubated overnight at 37°C in 0% CO<sub>2</sub>. Fresh medium containing  
10 drugs: Ethanol control (EtOH), 1 $\mu$ M retinoic acid (RA), 1 $\mu$ M ATRA-IV, 2ng/mL trichostatin A (TSA), 500 $\mu$ M sodium phenylbutyrate (PBa), and combinations of these were added the next day (day zero). Fresh medium containing drugs was added on days 3 and 5.

#### MTT assay:

15 This assay detects color which is proportional to the number of cells present. Tissue culture medium containing drugs was removed and 200 $\mu$ L of DME containing 2mg/mL MTT ((3-4, 5-dimethyl thiazol-2yl) 2,5-diphenyl-tetrazolium bromide) was added to each well. Plates were incubated at 37°C and 10% CO<sub>2</sub> for 30 minutes. Medium containing MTT was then removed and the  
20 cells were washed once with PBS. Following removal of the PBS, 200 $\mu$ L DMSO was added to each well and plates were placed on a rotating platform shaker for 5 minutes. Results were obtained by reading the absorbance at a wavelength of 550 nm using a spectrophotometer. Data was plotted using Prism 3.0. Error bars are the standard error mean (SEM) of 4 replicate wells.

#### 25 Growth Curves:

24 well tissue culture dishes were seeded with cells in 1mL tissue culture medium (Clonetics) at  $10^4$  cells/well. Cells were incubated overnight at 37°C in 10% CO<sub>2</sub>. Fresh medium containing drugs: Ethanol control (EtOH), 1 $\mu$ M retinoic acid (RA), 2ng/mL trichostatin A (TSA), 25 $\mu$ M sodium phenylbutyrate  
30 (PBa), and combinations of these were added the next day (day zero). Fresh

medium containing drugs was added on days 3 and 5. Cells were trypsinized on days 0, 3, 5, and 7 and cell number was determined using a Z1 Coulter particle counter. Data was plotted using Prism 3.0. Error bars are the standard error mean (SEM) of 4 replicate wells.

## 5 Results

Figure 1 graphically depicts the results of growth assays at 5 and 7 days of the human breast cancer cell line MB-435, treated as indicated. Cells were plated in wells on day 0. Drugs were added on day 0 and again on days 3 and 5. The MTT assay was carried out on days 5 and 7. 1  $\mu$ M of retinoic acid was used vs. 1  
10  $\mu$ M of liposomal retinoic acid (ATRA-IV). A very low dose (2 ng/ml) of trichostatin A (TSA) was also used. In addition, another inhibitor of histone deacetylases, 500  $\mu$ M phenylbutyrate, was used. Various combinations of the drugs were also used in the growth assays. As can be seen in Figure 1, retinoic acid and ATRA-IV inhibited cell growth by about 20% on day 7, while low dose  
15 TSA did not inhibit cell growth. Phenylbutyrate alone inhibited cell growth by 15-20%. The combinations of retinoids plus histone deacetylase inhibitors were more effective at inhibiting cell growth as measured by the MTT assay.

Figure 2 graphically depicts the results of growth assays of normal human mammary epithelial cells (primary cultures) treated as indicated. The drugs were  
20 added as described above, and cells were counted on days 0, 3, 5, and 7. In these cells, phenylbutyrate at 25  $\mu$ M alone did not inhibit cell growth significantly. Retinoic acid at 1  $\mu$ M inhibited cell growth by about 50%, as did the low dose (2 ng/ml) TSA. The combination of retinoic acid plus TSA or retinoic acid plus phenylbutyrate inhibited cell growth by about 75-80%. Thus, the combinations  
25 were more effective than each of the drugs alone. This figure shows that when normal human mammary epithelial cells are cultured in the presence of these drugs and are rapidly dividing in this cell culture system, there is some inhibition of cell growth. However, in the body, most normal cells are *not* rapidly dividing as tumor cells are. Thus, while this result indicates that retinoids and TSA can  
30 inhibit the growth and induce the differentiation of normal epithelial cells, these assays are not likely to represent fully the behavior of normal epithelial cells

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within the body. This experiment was primarily performed in order to determine if the retinoid and histone deacetylase inhibitor combination worked similarly in tumor and normal epithelial cells.

Results of growth assays of 3, 5, and 7 day human breast cancer MB-435  
5 cells, measured by the MTT assay, are depicted in Figure 3. Cells were treated as indicated. Results demonstrate that the combinations of retinoids plus histone deacetylase inhibitors were slightly more effective than either retinoic acid or ATRA-IV at inhibiting cell growth. TSA alone was better in reducing cell growth than phenylbutyrate. Retinoic acid and TSA reduced cell growth the greatest  
10 amount. This may be the case because phenylbutyrate is not as potent or specific an inhibitor of histone deacetylases as TSA. Phenylbutyrate can also be metabolized by cells to different extents. Thus, it is possible that in this particular cell line, phenylbutyrate was metabolized so that less of this histone deacetylase inhibitor was active in the cells as compared to TSA at a much lower dose. The  
15 combination of retinoic acid plus TSA resulted in a 50% inhibition of cell growth. A high dose of TSA (100 ng/ml) resulted in complete growth inhibition.

Figure 4 graphically depicts the results of growth assays of 3, 5, and 7 day MB-231 human breast cancer cells treated as indicated. The growth was measured by the MTT assay. On these cells, the combinations of ATRA-IV plus  
20 TSA and retinoic acid plus TSA were much more effective at inhibiting cell growth than each of these compounds alone. Results also indicated that the combination of retinoids plus phenylbutyrate was not more effective than the retinoids alone in inhibiting growth.

Results of growth assays of 3, 5, and 7 day MCF-7 human breast cancer  
25 cells are depicted in Figure 5. Cells were treated as indicated. The growth was measured by MTT assay. On day 7, it can be seen that the combinations of retinoic acid plus low dose TSA and retinoic acid plus phenylbutyrate were significantly more growth inhibitory than each of these compounds given alone to the cells.

Figure 6 graphically depicts the results of growth assays of human kidney cancer cells, SK-RC-39, treated as indicated. The cells were counted at day 7. A small amount of ethanol was added to the control cells, as a vehicle and solvent for the retinoic acid. In this experiment, retinoic acid alone had little growth inhibitory activity, while low dose TSA inhibited cell growth substantially (80-90%). The combination of retinoic acid plus low dose TSA completely inhibited cell growth (roughly 100% inhibition of growth).

Results of growth assays of SK-RC-01 human kidney cancer cells are depicted in Figure 7. Cells were treated as indicated. The cell number was counted at day 7. In this human kidney cancer line, retinoic acid alone resulted in about a 10% inhibition of cell growth. Low dose TSA alone resulted in a 20-25% inhibition of cell growth. The combination of retinoic acid plus low dose TSA resulted in a 50% inhibition of cell growth. A high dose of TSA (100 ng/ml) resulted in complete growth inhibition (roughly 100% inhibition).

Results of growth assays of the human kidney cancer cell line SK-RC-45, are shown in Figure 8. Cells were treated as indicated. The cell number was counted on day 7. In this experiment, 1  $\mu$ M retinoic acid alone inhibited the growth of the cells by about 55-60%. Low dose TSA also inhibited the growth of the cells by approximately 60-65%. The combination of low dose TSA and retinoic acid inhibited cell growth by over 90%, and high dose TSA (100 ng/ml) was also extremely effective in inhibiting kidney cancer cell growth.

Results of growth assays of the human kidney cancer cells, SK-RC-39, are illustrated in Figure 9. Culture conditions were as shown. The cell number was counted on day 7. The term EtOH indicates the control cells, treated with a very low amount of ethanol, the same amount used to dissolve retinoic acid. In this experiment, 1  $\mu$ M retinoic acid alone did not inhibit cell growth, whereas low dose TSA inhibited cell growth by approximately 75%. The combination of low dose TSA and 1  $\mu$ M retinoic acid inhibited cell growth by greater than 90%, as did high dose TSA. Figures 10 and 11 illustrate similar results using the same cell line under different treatments as indicated.

Results of growth assays of the human kidney cancer cells, SK-RC-01 are graphically depicted in Figure 12. The cell number was counted on day 7. This graph shows that retinoic acid inhibited cell growth by 12.5%, low dose TSA by approximately 25%, and the combination by 50%. The high dose TSA inhibited  
5 cells by greater than 95%. Thus, 1 $\mu$ M retinoic acid was effective in reducing cell growth, but was improved considerably when combined with TSA.

Figure 13 graphically depicts the results of growth assays of the human kidney cancer line SK-RC-45, treated as indicated. Cell number was counted. The combination of retinoic acid and TSA was much more effective than either  
10 compound alone.

In Figure 14, the results of growth assays of SK-RC-45 cells are shown. Cells were treated as indicated and cell number was counted. The results are similar to those in Figure 13 and show that the combination of retinoic acid plus TSA was much more effective than either drug at the same dose administered to  
15 the cells alone.

Figure 15 is a growth curve of the kidney cancer line SK-RC-39, treated as indicated. The cell number was counted. The combination of retinoic acid plus low dose TSA was much more effective than either drug alone, as was the combination of phenylbutyrate plus retinoic acid, when compared to retinoic acid  
20 alone.

Figure 17 graphically depicts the results of growth assays of the human prostate cancer cell line LnCap, treated as indicated. Cell number was counted on days 0, 3, 5, and 7. In this experiment, retinoic acid was employed alone at 1 $\mu$ M. Retinol (vitamin A) was employed at 1 $\mu$ M. Valproic acid, a histone deacetylase  
25 inhibitor, was employed at 0.5  $\mu$ M alone. Combinations of these drugs were also used. All drugs were added only once, at time 0, in this experiment. As can be seen in Figure 17, retinoic acid alone and retinol alone inhibited cell growth by 25% and approximately 27%, respectively, at day 7. Valproic acid (VPA) alone inhibited cell growth by approximately 40%. The combination of retinoic acid  
30 plus VPA inhibited cell growth by 75%, as did the combination of valproic acid

plus retinol. Thus, the combination of the retinoids plus the histone deacetylase inhibitor VPA was strikingly more effective in inhibiting cell growth than each of these drugs at the same concentrations provided to the cells alone.

Results of growth assays of the human squamous cell carcinoma head and neck cell line SCC-15, are shown in Figure 18. Cells were treated as indicated. Drugs were added only at time 0. Cell number was counted on days 0, 3, 5, and 7. As in Figure 17, it can be seen that the combination of VPA plus retinoic acid or the combination of VPA plus retinol was much more effective in inhibiting cell growth than each of the drugs provided to the cells at the same concentrations alone.

Figure 19 graphically depicts the results of growth assays of the head and neck cancer cell line SCC-15, treated as indicated. Cell number was counted on days 0, 3, 5, and 7. In this experiment, a low dose of TSA (8 ng/ml) was used alone or in combination with 1 $\mu$ M retinoic acid or 1 $\mu$ M retinol. Drugs were added only at time 0. The TSA alone, retinoic acid alone, and retinol alone each inhibited cell growth at day 7 by approximately 35-40%. The combination of TSA plus retinoic acid or TSA plus retinol inhibited cell growth by almost 80%. Thus, the low dose histone deacetylase inhibitor combined with retinoic acid or retinol improved the effect of retinoic acid in reducing cell growth considerably.

Results of growth assays of the human prostate cancer cell line PC-3, are depicted in Figure 20. Cells were treated as indicated. Cell number was counted on days 0, 3, 5, and 7. Drugs were added only once on day 0. TSA alone, retinoic acid alone, and retinol alone each inhibited cell growth by approximately 20% at day 7. The combination of low dose TSA plus retinoic acid or low dose TSA plus retinol inhibited cell growth by almost 60%. Again, results indicate that the combination of a retinoid plus a histone deacetylase inhibitor resulted in more effective cell growth inhibition.

**EXAMPLE 2****TUMOR XENOGRAFT MODEL**

Since biologic effects were observed in cultured cells (Figures 1-15), and growth assays indicated synergy with the ATRA/TSA combination, tumor growth inhibition by treatment with combination ATRA/TSA therapy in a xenograft model was tested. Forty Swiss *nu/nu* mice were injected in the right flank subcutaneously with  $5 \times 10^6$  SK-RC-39 cells that had been cultured in 7%MEM and then trypsinized. Four days later, four cohorts of 10 mice each began to receive one tail vein injection of TSA or control and one injection of ATRA-IV or control every Monday, Wednesday and Friday for the duration of the experiment. The four treatment groups were as follows: (1) PBS with 1% EtOH + empty liposomes; (2) LipoATRA (7.4-8.1 mg/kg) +PBS with 1% EtOH; (3) TSA (34-41  $\mu$ g/ml) +empty liposomes; and (4) TSA + LipoATRA. The study ended in the eighth week of treatment and at least six animals remained in each arm at the conclusion of the study and were considered evaluable. The animals tolerated the treatment well and gained weight throughout the treatment course. The tumor growth in the cohort that received LipoATRA + 1% EtOH control was no different from that observed with the control arm. The tumor growth in the cohort that received TSA + empty liposomes was inhibited by 38% compared to control, while the inhibition of tumor growth seen in the TSA + LipoATRA arm was 61%. The tumor growth inhibition observed in the TSA + LipoATRA arm was much greater than that observed in the control arm. While the difference between the TSA + LipoATRA and the TSA + empty liposome arm was significant (Figure 16), both of these treatments led to a very statistically significant difference in growth as compared to the control arm. Histologic analysis of the liver, lung, spleen and kidneys revealed no evidence of toxicity from either drug in these mice.

The foregoing results demonstrate the synergistic growth inhibition of human tumor cell lines by combination therapy with ATRA-IV and the HDAC inhibitor TSA, and the efficacy and lack of toxicity of TSA when given to a mouse tumor xenograft model. This is also the first report documenting the lack



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of toxicity of TSA in animals as well as *in vivo* effectiveness and the first report of *in vivo* synergy of ATRA with an HDAC inhibitor in a solid tumor malignancy.

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What is claimed is:

1. A method of inhibiting growth of solid tumors in an animal which comprises administering an effective amount of trichostatin A (TSA) to an animal in need of such treatment.
- 5 2. A method of inhibiting growth of solid tumors in an animal which comprises administering an effective amount of a histone deacetylase inhibitor and a retinoid to an animal in need of such treatment.
3. The method of claim 1 or 2 wherein the solid tumor is selected from the group consisting of carcinomas of the head and neck, breast, skin,  
10 kidney, oral cavity, colon, prostate, pancreas or lung.
4. The method of claim 2 wherein the histone deacetylase inhibitor and retinoid are administered in combination.
5. The method of claim 2 wherein the histone deacetylase inhibitor and retinoid are administered serially.
- 15 6. The method of claim 2 wherein the histone deacetylase inhibitor is at least one of Trichostatin A, Trichostatin C, butyric acid, potassium butyrate, sodium butyrate, ammonium butyrate, lithium butyrate, phenylbutyrate, sodium phenylbutyrate (NaPBA), a stable butyrate derivative, traponin, valproic acid (VPA) or suberoylanilide hydroxamic acid (SAHA).
- 20 7. The method of claim 2 wherein the retinoid is at least one of retinol, 9-cis retinoic acid, 13-cis retinoic acid, all-trans retinoic acid, 4-oxoretinol, 4-oxoretinaldehyde or a retinyl ester.
8. The method of claim 7 wherein the retinoid is in a lipid formulation.
- 25 9. The method of claim 8 wherein the retinoid is a liposomal tretinoin such as liposomal ATRA Tretinoin or ATRA-IV.
10. The method of claim 1 or 2 wherein the animal is a mammal.

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11. The method of claim 10 wherein the mammal is a human.

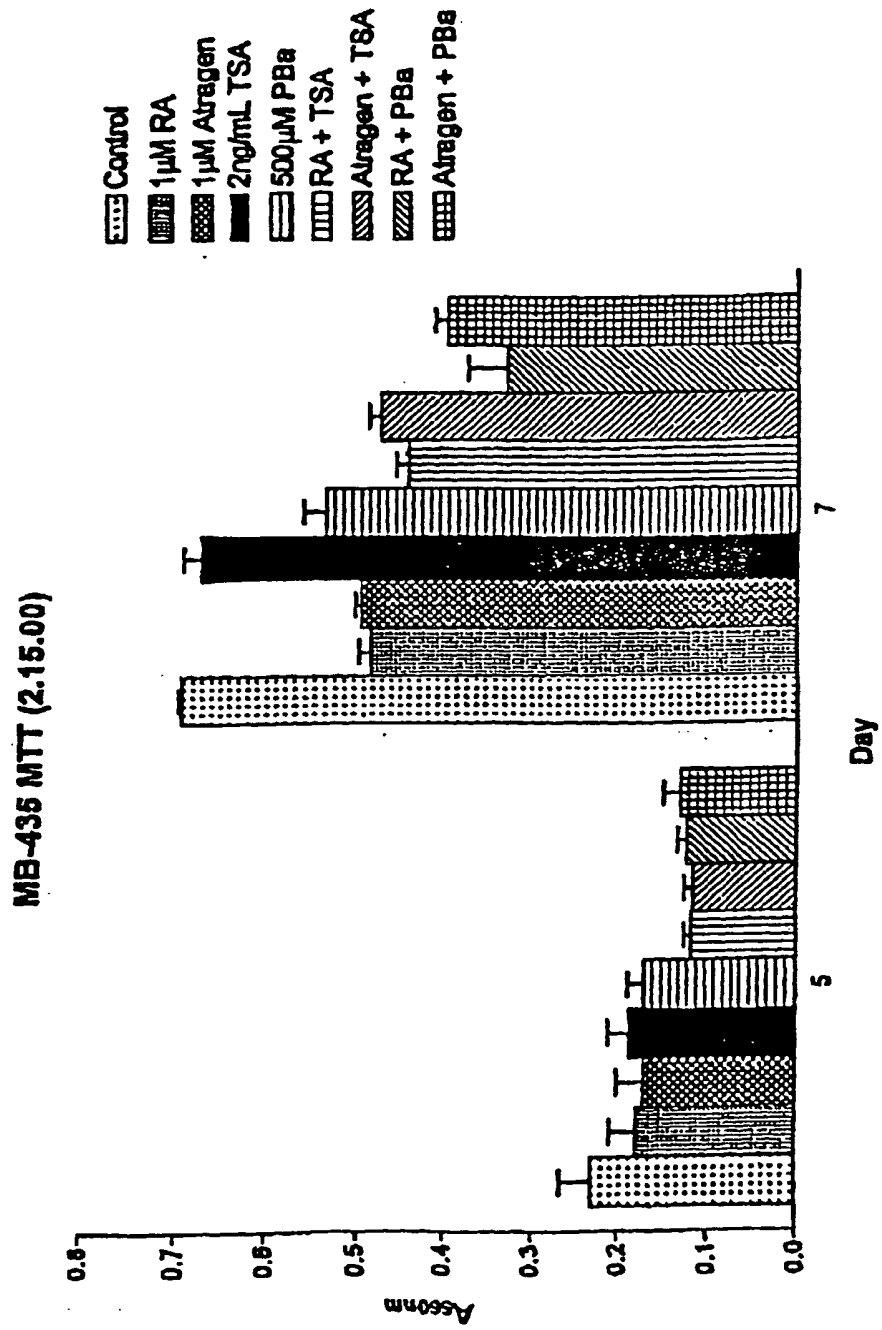


Figure 1

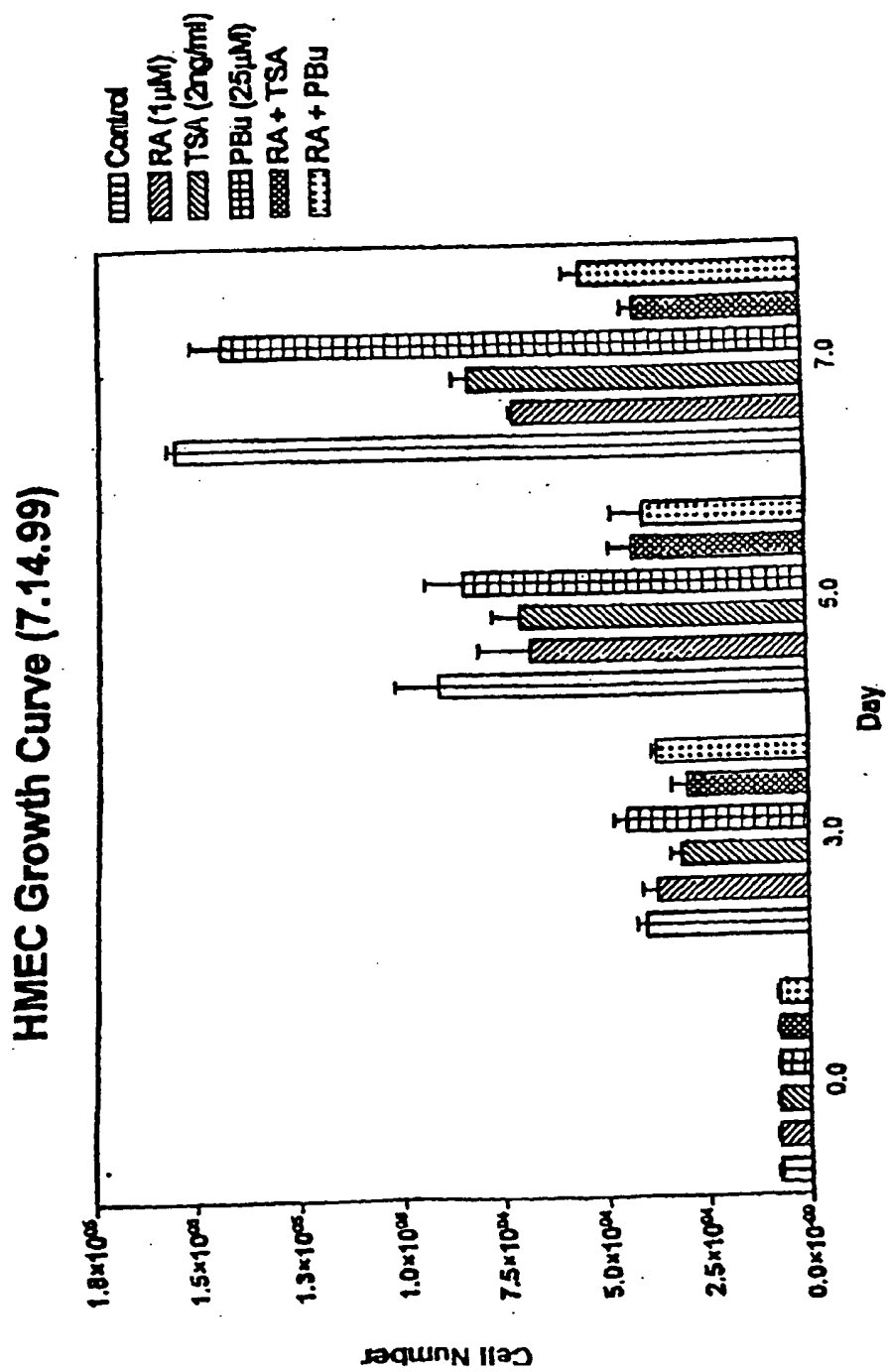


Figure 2

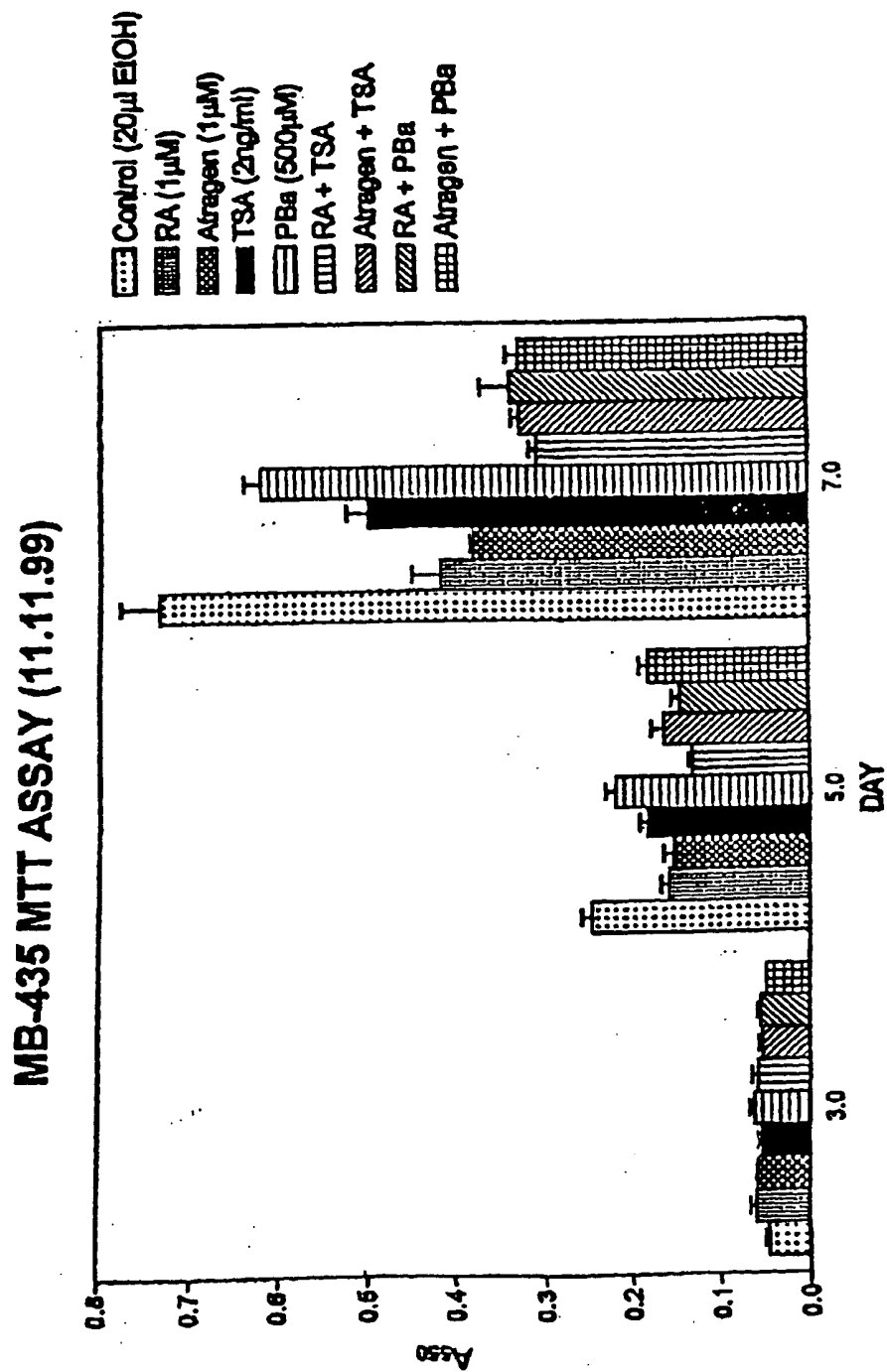


Figure 3

## MB-231 MTT ASSAY (11.11.99)

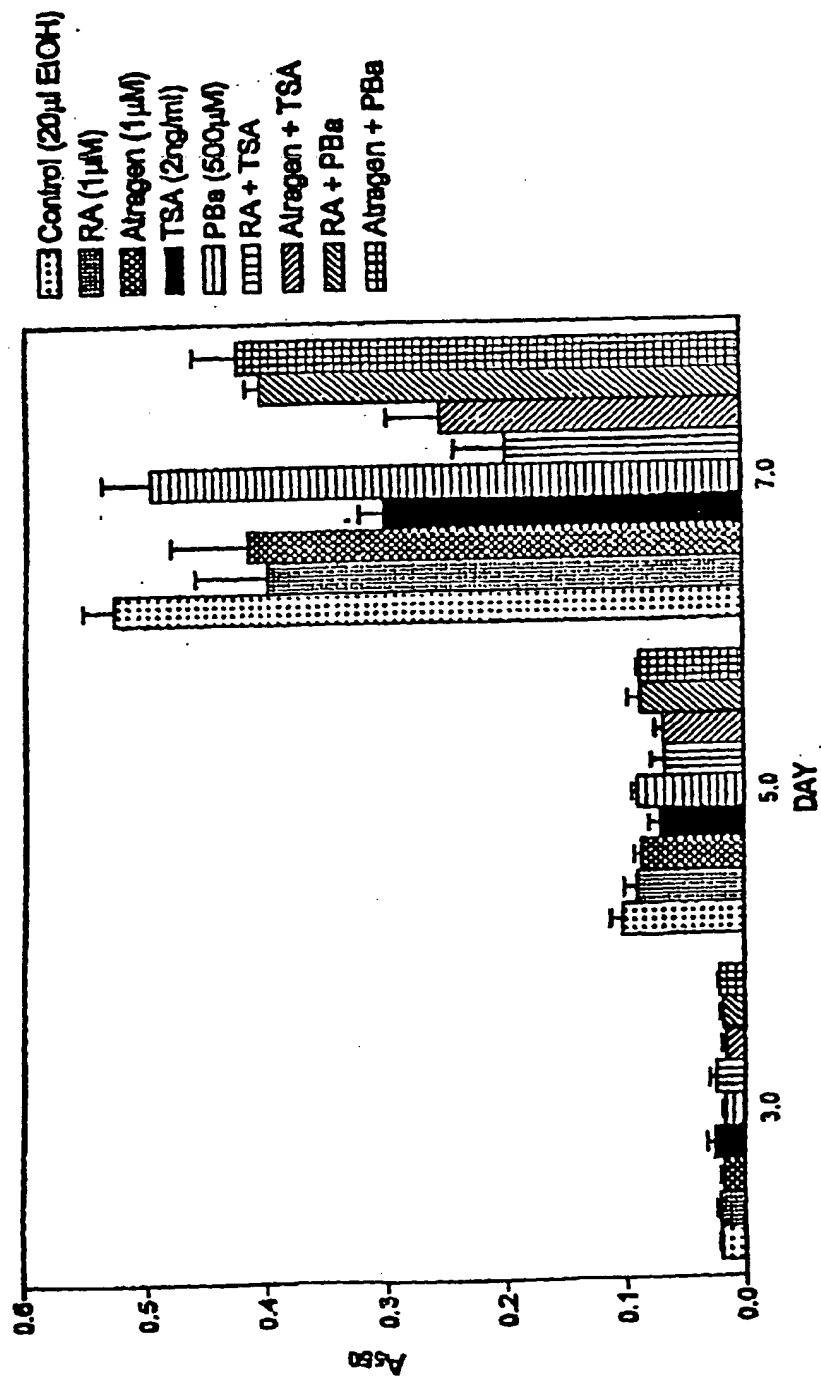


Figure 4

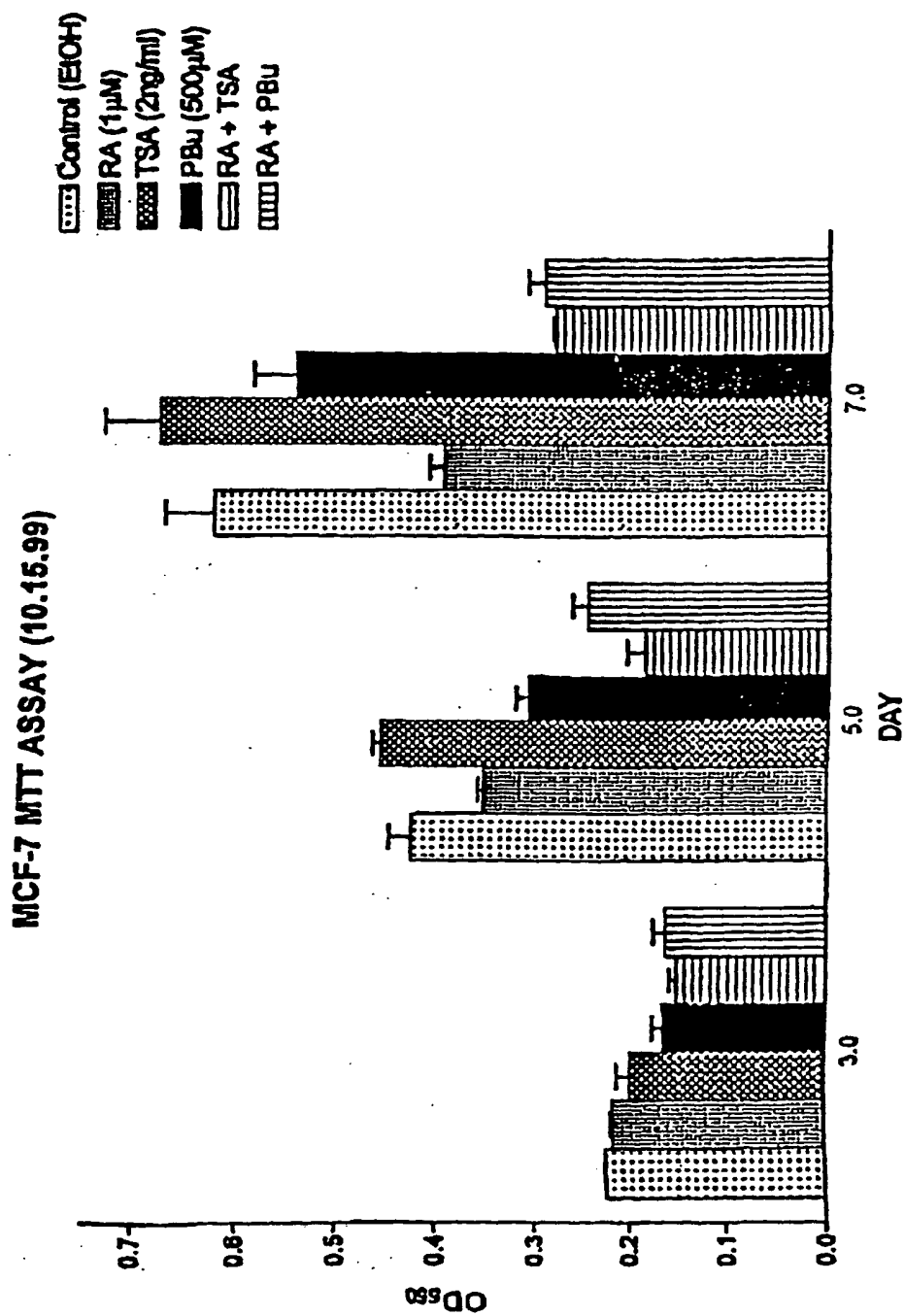
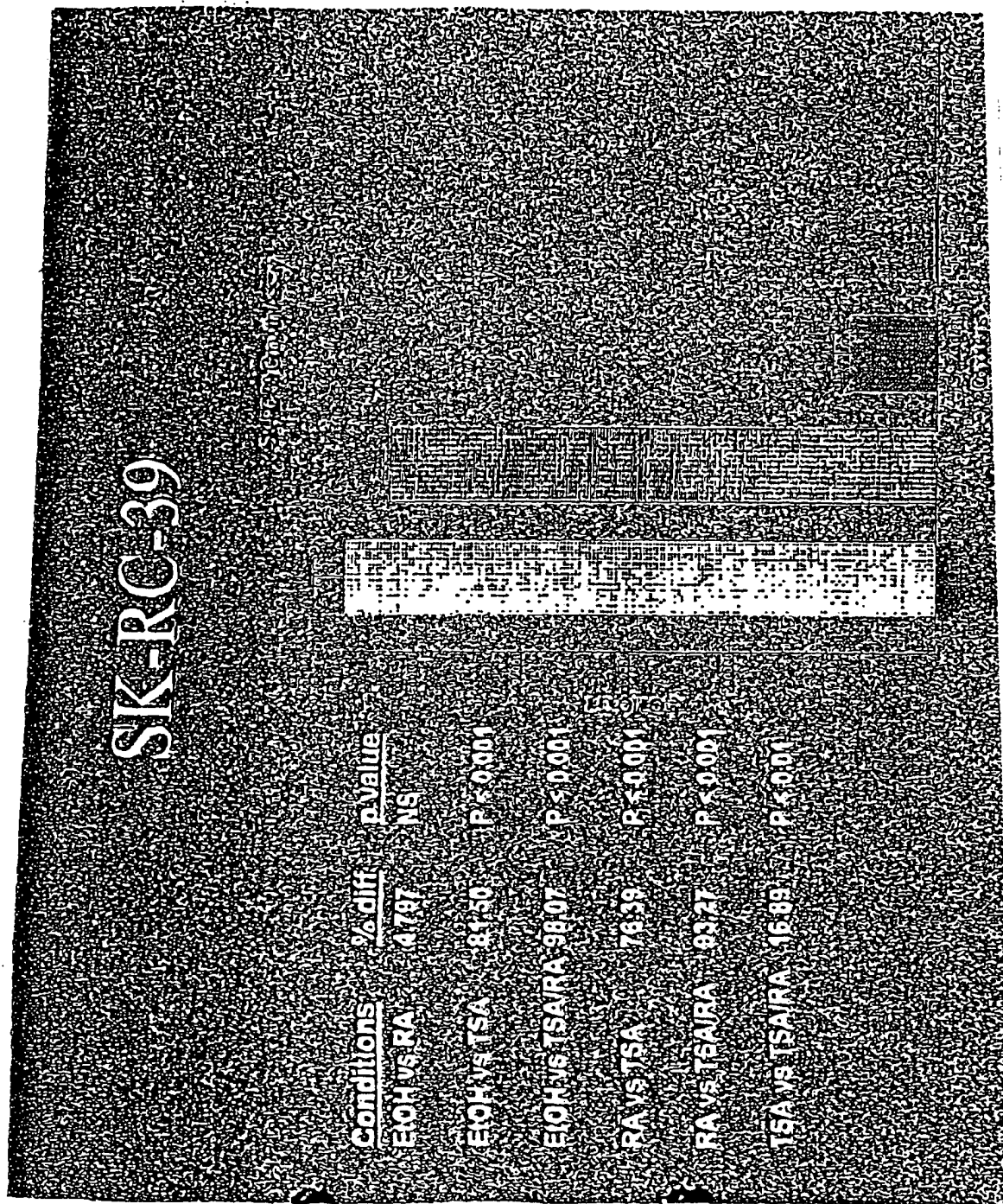


Figure 5



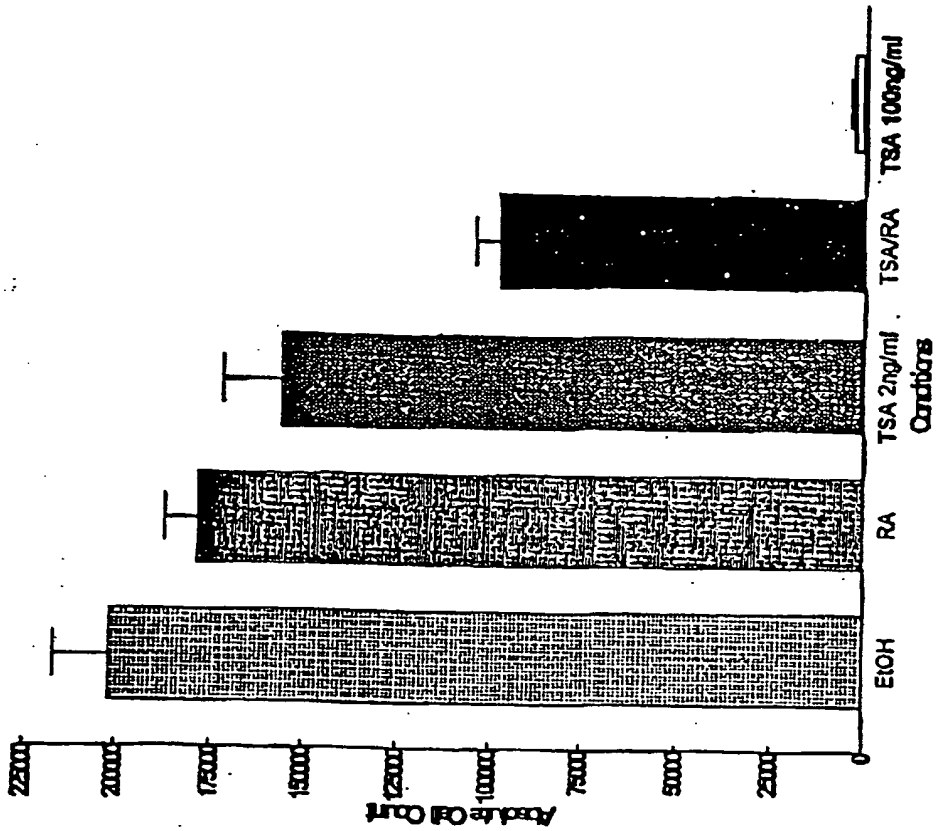
Figure 6



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# SK-RC 01

SKRC01 Growth Assay



Condition	%diff	p value
• EIOH vs RA	37.17	NS
• EIOH vs TSA	40.83	NS
• EIOH vs TSA/RA	69.34	P < 0.01
• RA vs TSA	3.721	NS
• RA vs TSA/RA	32.23	NS
• TSA vs TSA/RA	28.51	NS

Figure 7

# SK-RC-45

## SK-RC-45 Growth Assay

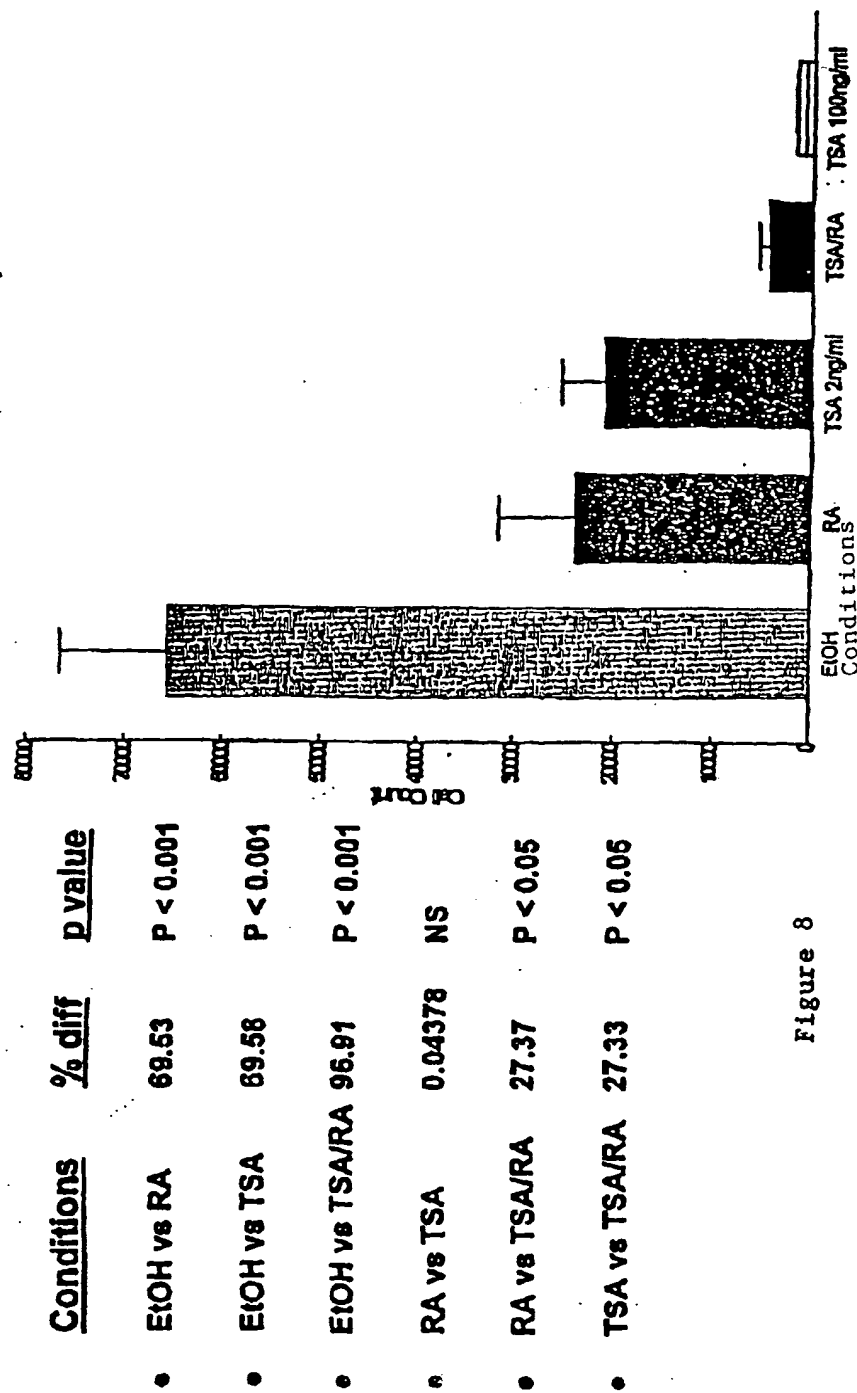


Figure 8

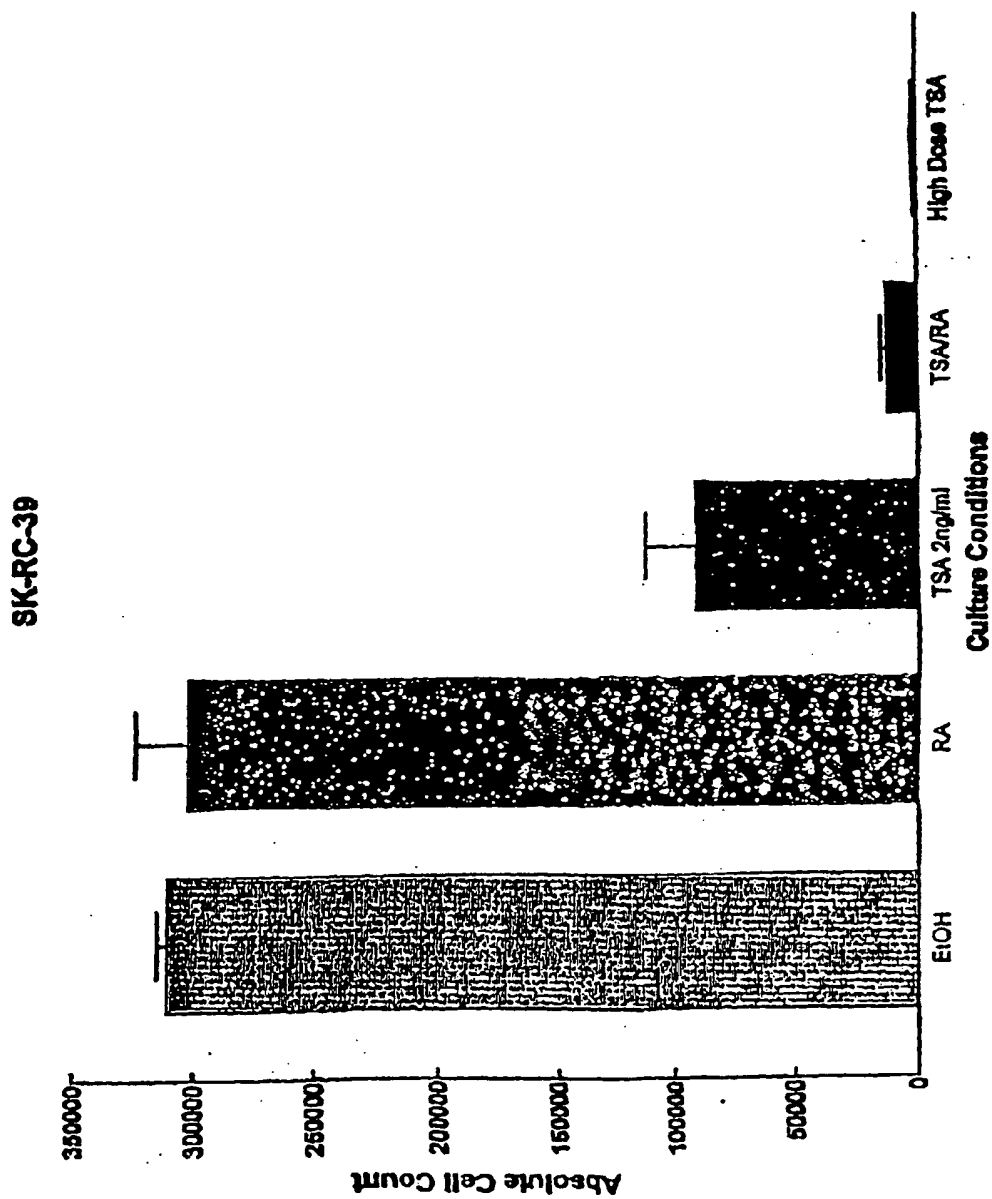


Figure 9

SK-RC-39 7 Day Growth Curve 8/7/00

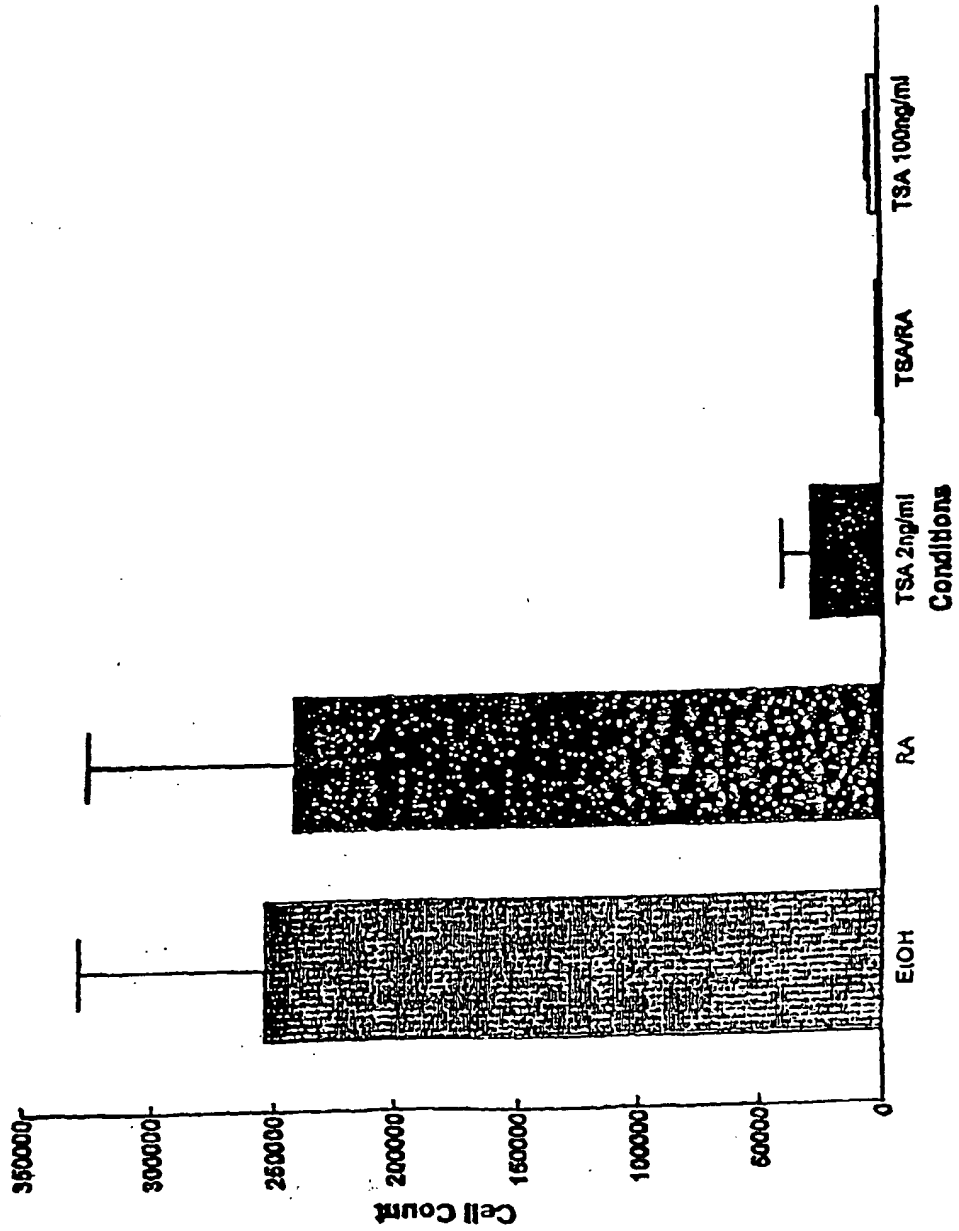


Figure 10

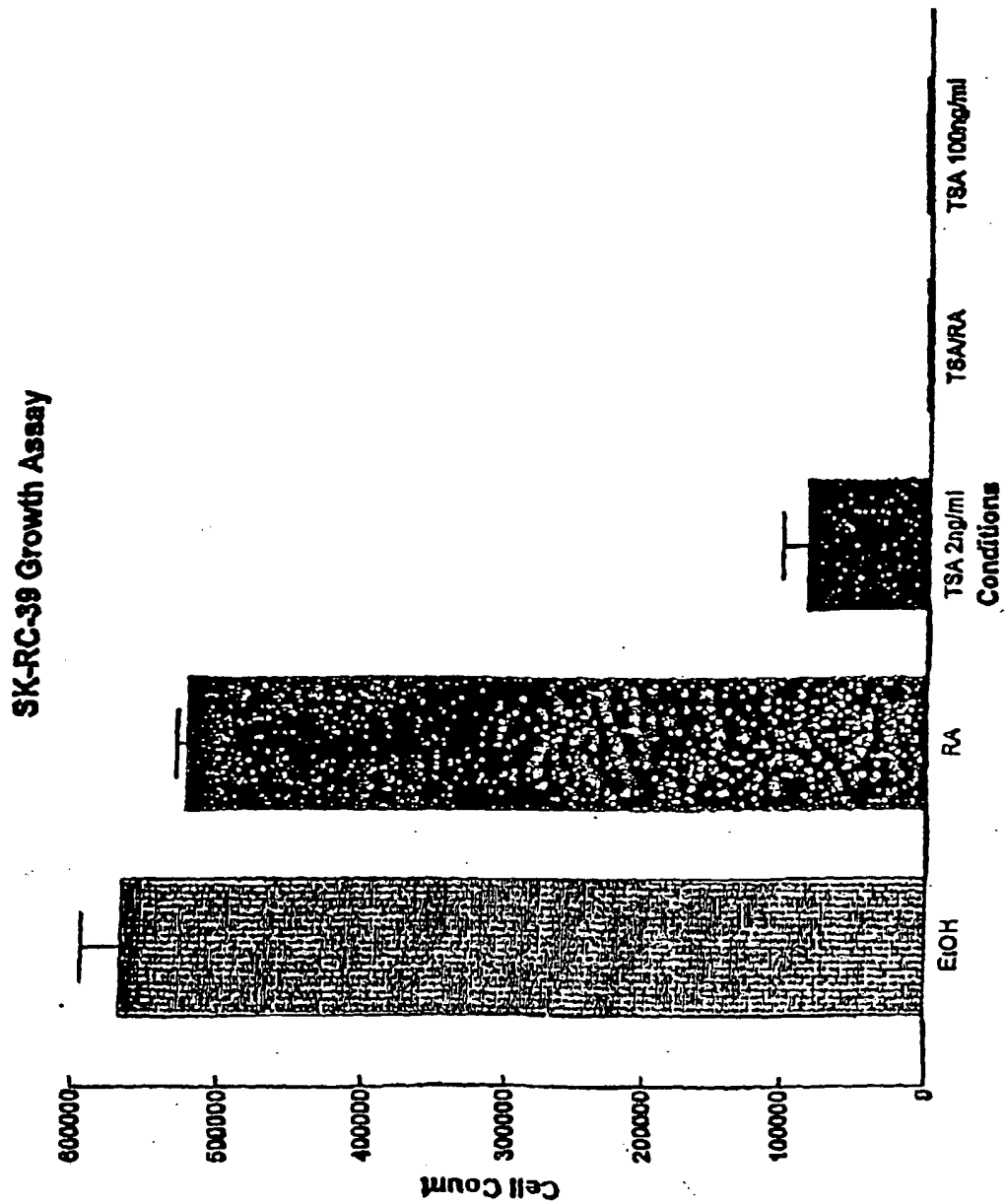


Figure 11

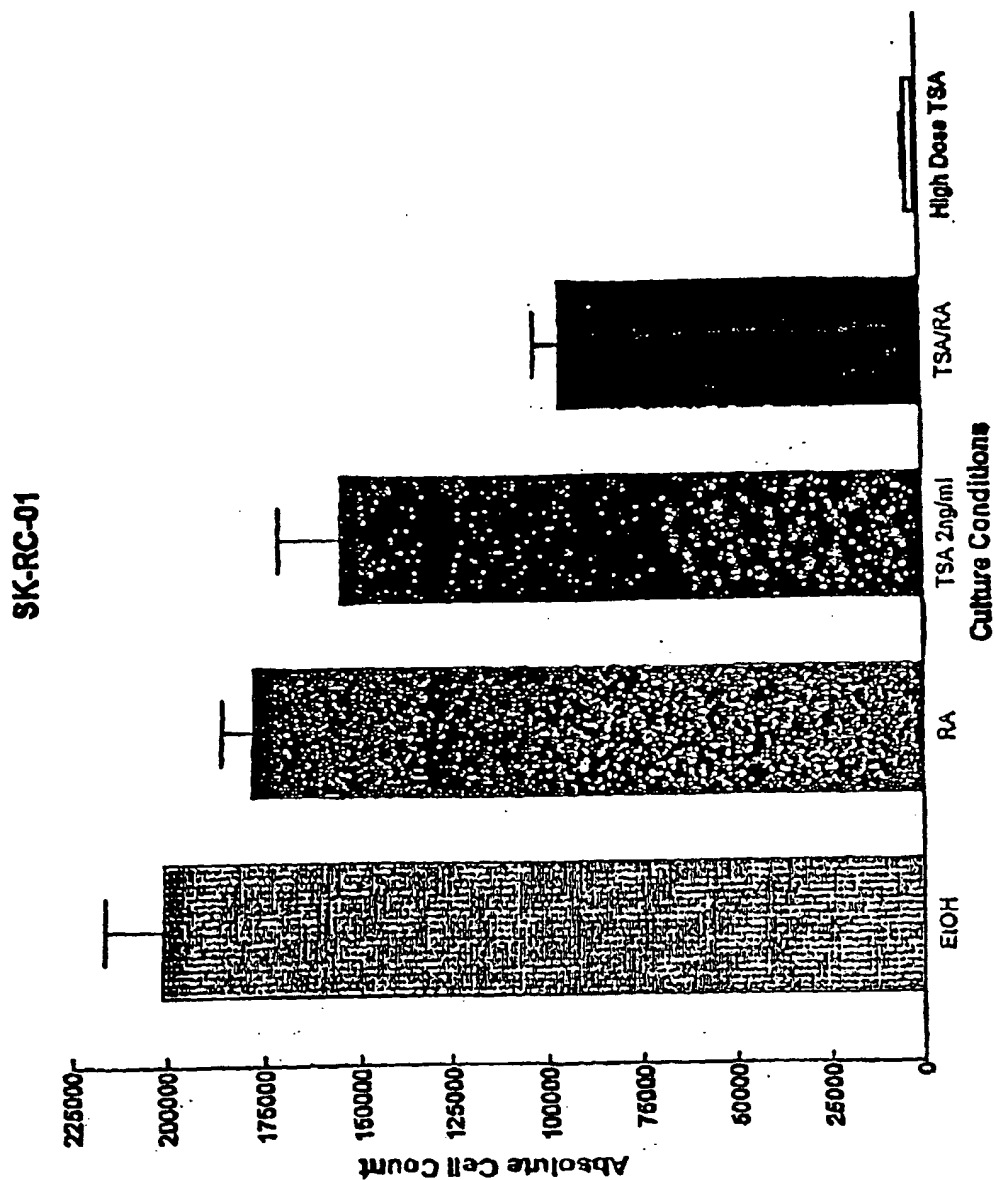
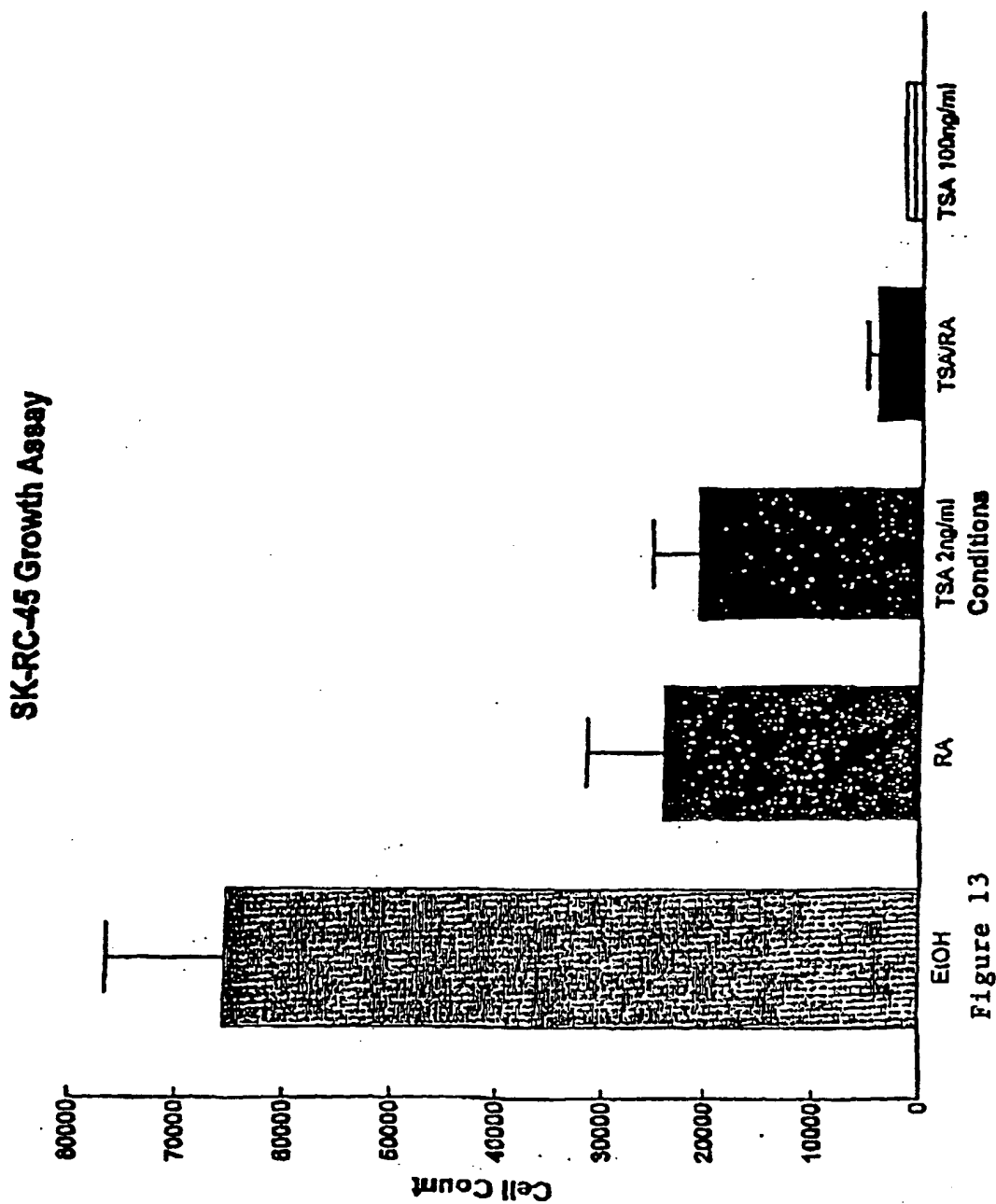


Figure 12





SK-RC-45

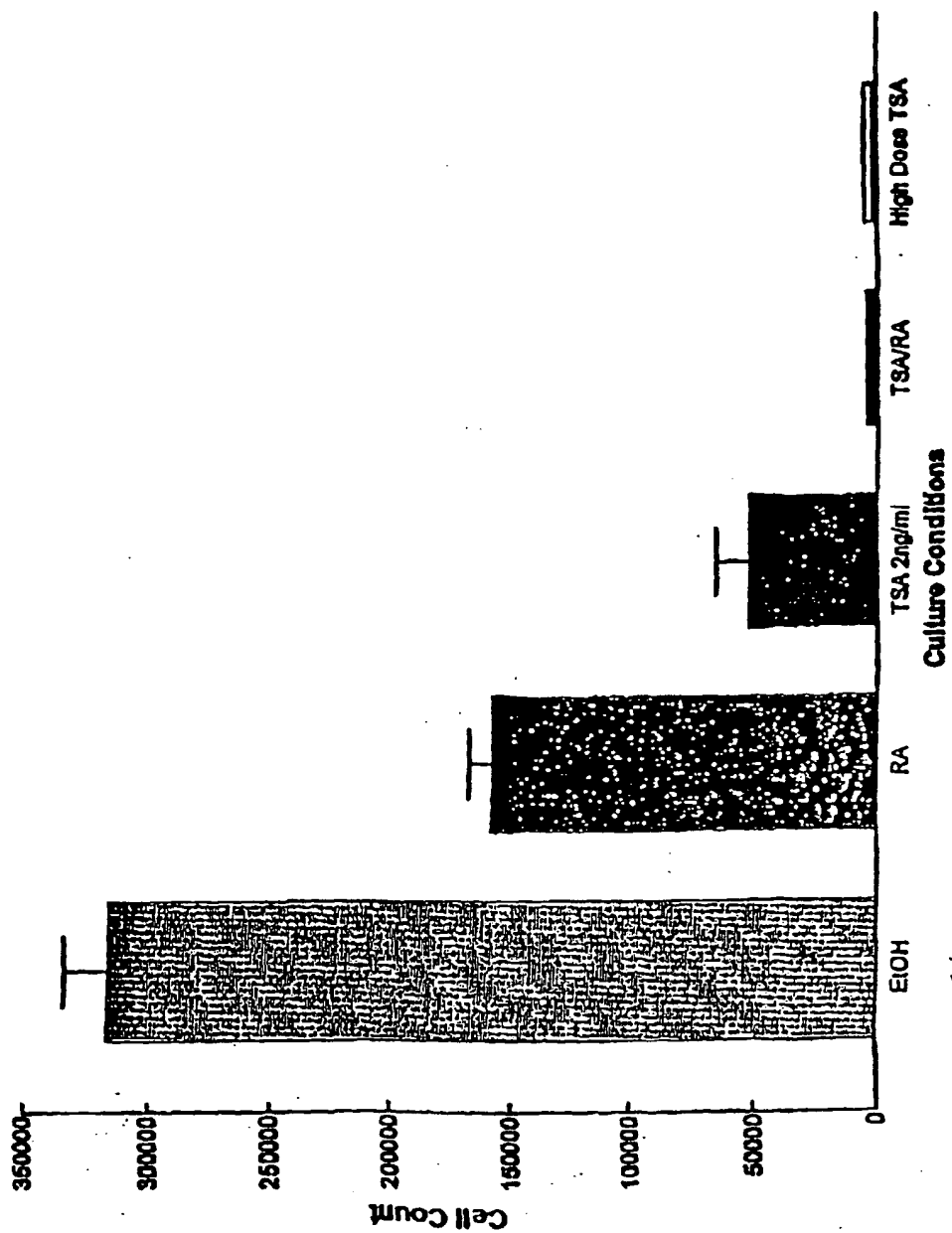


Figure 14

# SK-RC-39 7 Day Growth Curve

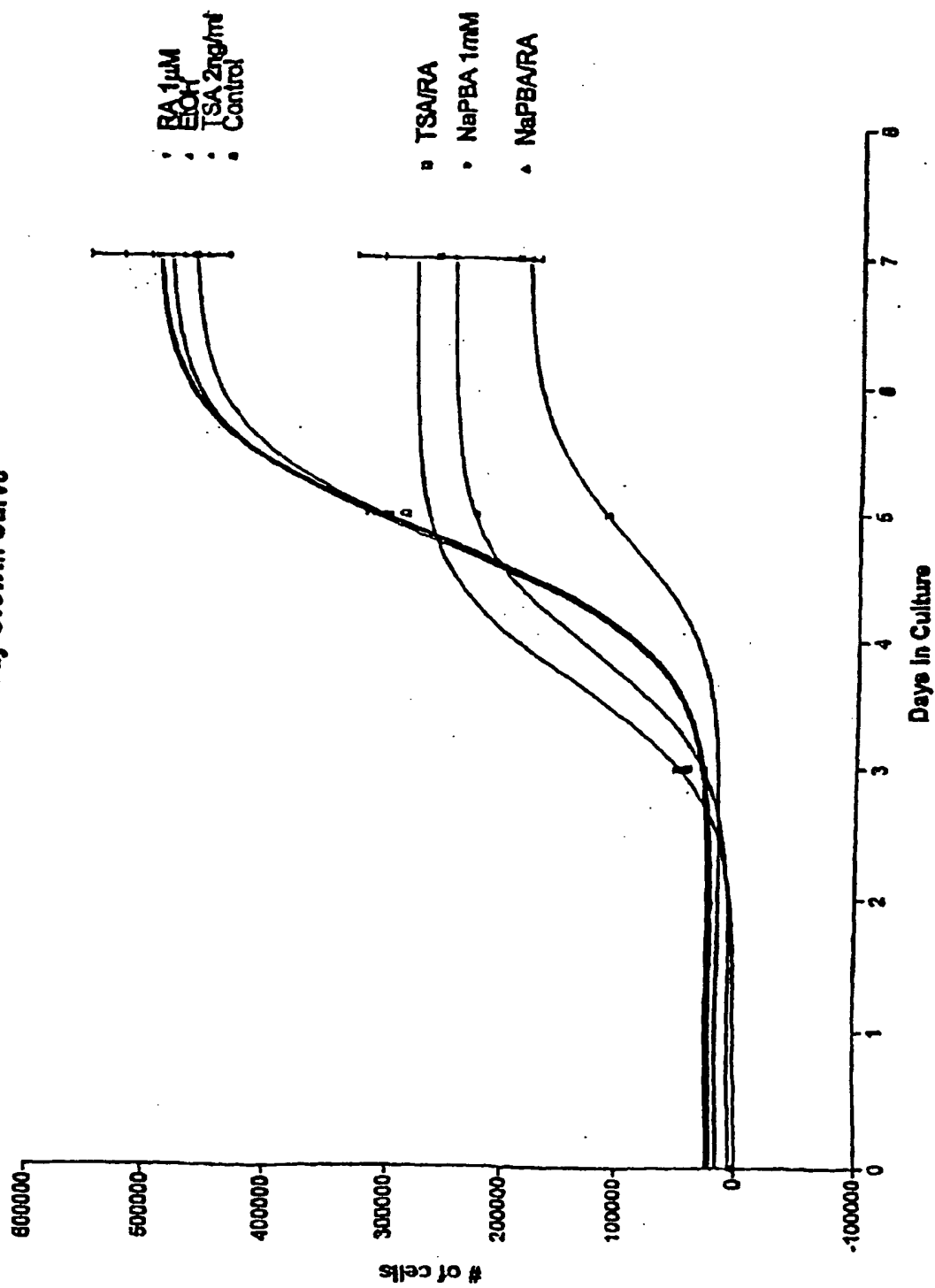


Figure 15

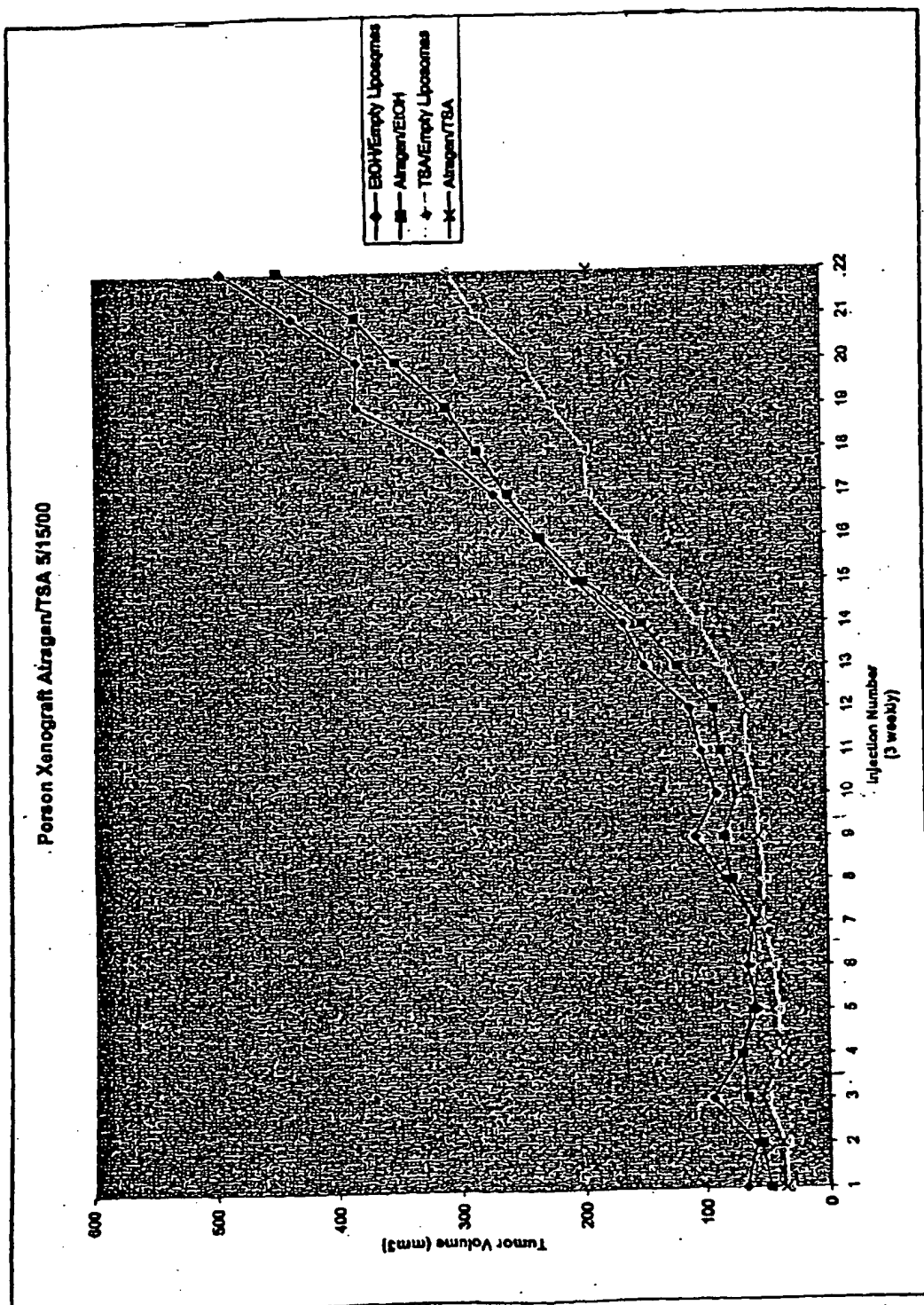


Figure 16

Figure 17

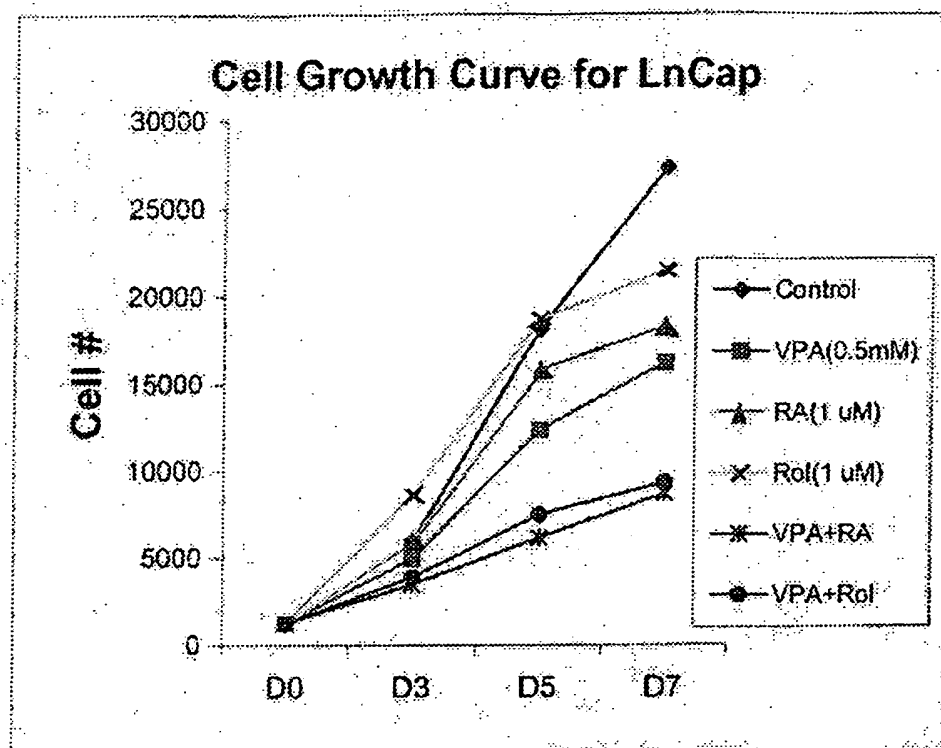


Figure 18

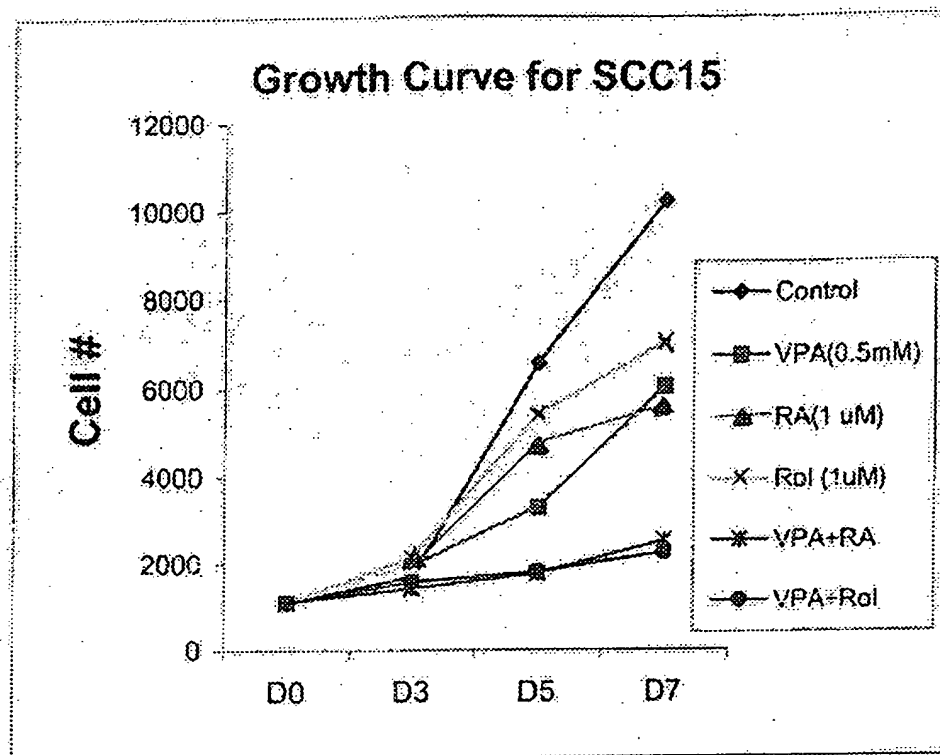
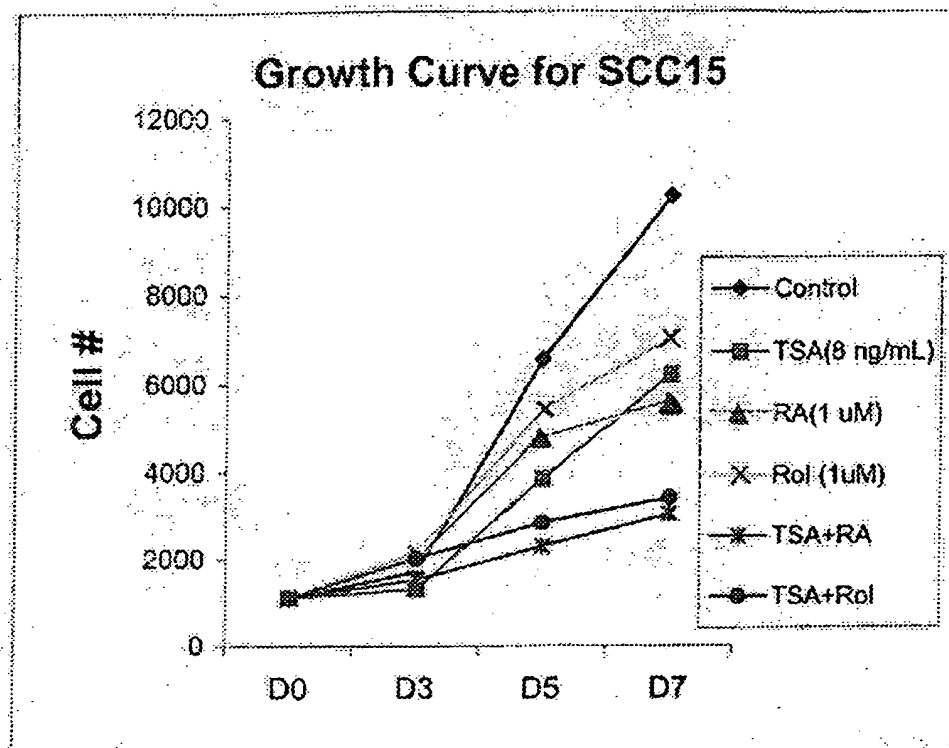


Figure 19



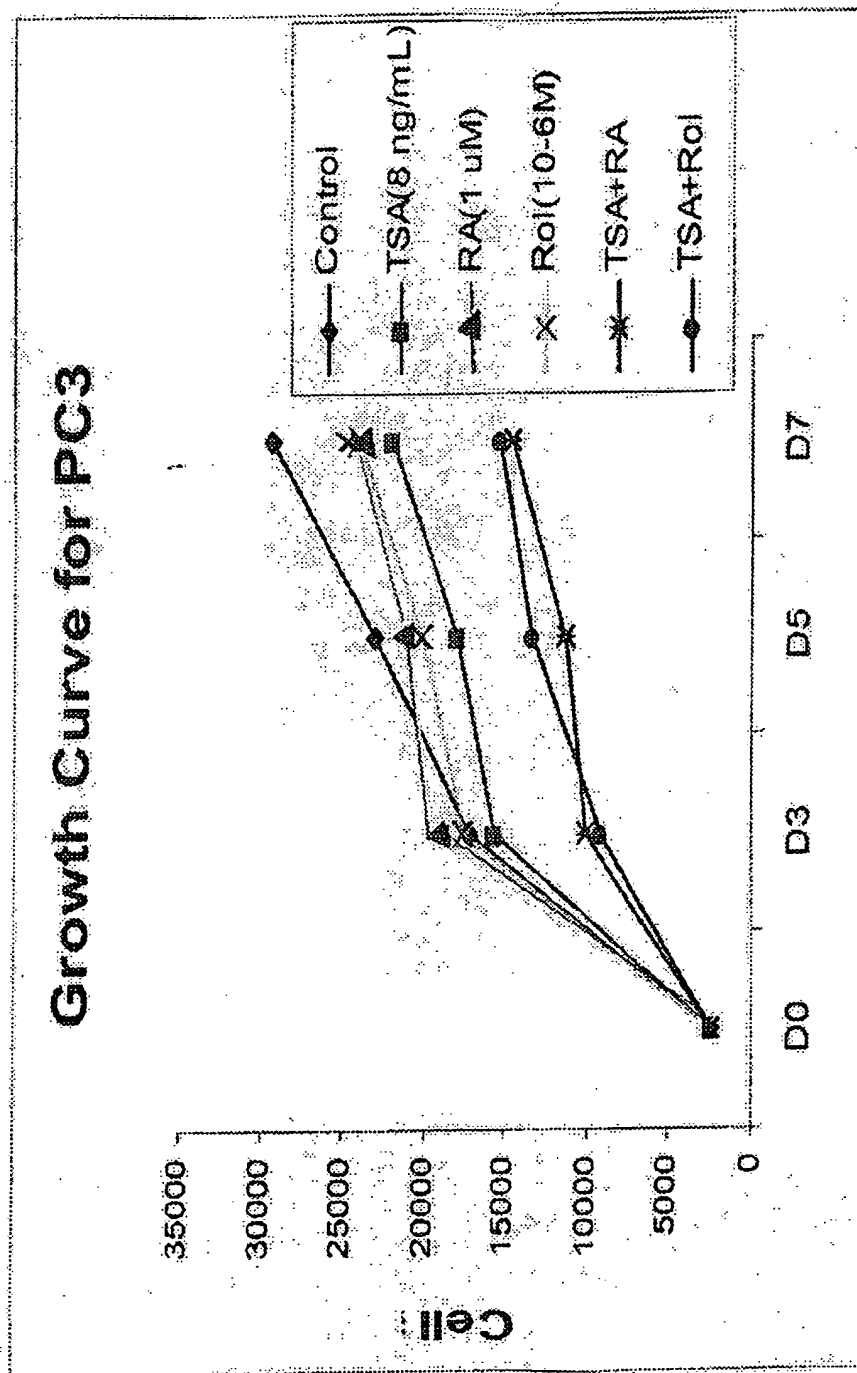


Figure 20

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US02/02976

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(7) : A61K 31/19

US CL : 514/557

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 514/557

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

CAPLUS, USPATFUL, MEDLINE

search terms: Trichostatin A, retinoid, solid tumor, cancer, histone deacetylase inhibitor, cell proliferation

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 99/37150 A1 (SLOAN KETTERING INSTITUTE FOR CANCER RESEARCH) 29 July 1999 (29.07.1999), see abstract and example 32 at page 44.	1-11

☐ Further documents are listed in the continuation of Box C. ☐ See patent family annex.

* Special categories of cited documents:	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"A" document defining the general state of the art which is not considered to be of particular relevance	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"E" earlier document published on or after the international filing date	"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"G" document member of the same patent family
"O" document referring to an oral disclosure, use, exhibition or other means	
"P" document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search

30 MAY 2002

Date of mailing of the international search report

24 JUN 2002

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